

Defkan GENC

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Summary

Highly skilled Financial Crime Professional/Consultant with 8 years of comprehensive experience in Fraud, AML, KYC, PEPs and Sanctions. Expert in Quality Assurance, Transaction Monitoring and SAR writing. Extensive functional experience across two lines of defense - including Internal Control/Audit/Assurance and Financial Crime. As a Financial Crime Professional, my role is to help detect missing points and navigate the intricate waters of risk, compliance, and assurance. Subject Matter Expert in financial crime risks and audit/control frameworks to help ensure the organizations to keep integrity and operational excellence. Successful in proactively addressing known gaps and enhancing the effectiveness of financial crime teams.

Demonstrated success in improving operational productivity and quality. Proficiency in multitasking and managing tasks with tight timelines and deliverables. Subject Matter Expert focusing on achieving improvements in operations and processes. Willing to contribute and success through hard work, attention to detail and excellent organizational skills. Demonstrated competencies in innovation, communication and analytical thinking. Passionate about combating financial crime and seeking continued growth in Financial Services such as Blockchain, Banking, Fintech, and Payment Companies.

Experience

Financial Crime Professional
DEF Financial Consulting Ltd. - Self-employed

May 2024 - Present
London, the United Kingdom

- Acted as a Subject Matter Expert on AML, CTF, PEPs and Sanctions for the companies and other relevant stakeholders (e.g. Internal/External Audit)
- Provided timely advice and supported on high risk client onboarding and reviewing such as PEPs, high risk clients and third parties subject to EDD requirements
- Kept track of regulatory and legislative developments, as well as best practices relating to AML/CTF and Sanctions
- Ad hoc involvement and contribution into Financial Crime work streams and worked closely and in collaboration with IT departments and Business Analysts on ongoing AML/Financial Crime projects
- Assisted continuously in the development of the Financial Crime frameworks
- Obtained knowledge of AML, KYC/KYB and Sanction methodologies, tools and techniques and experience on different AML tools such as SAS, MANTAS and other in-house tools
- Extensive knowledge of Financial Sanctions regimes (OFAC, UK, UN and EU), UK and EEA AML regulations and processes
- Supported the Financial Crime Sanctions program, including the assessment and response to economic sanctions announcements
- Supported and advised to relevant departments regarding Financial Crime issues and other legal obligations
- Managed the Sensitive High Risk and Enhanced Monitoring client data set, including required reviews and reporting
- Experienced in fraud detection and prevention with a strong understanding of fraud schemes and mitigation strategies
- Provided guidance to the businesses/companies and all other relevant stakeholders in order to minimize financial crime risks.
- Supported all relevant training programs from an AML, CTF, PEP and Sanctions perspective in order to adequately meet the training needs

Financial Crime Compliance Team
Suspicious Transaction Monitoring and Analytics Manager
QNB Finansbank A.Ş.

May 2016 - April 2024
İstanbul, Türkiye

- Led the monitoring team, reporting and recording the monitoring results in an appropriate and timely manner
- Responsible for providing information directly to MLRO regarding monitoring results
- Reviewed and approved all relevant financial crime risk assessments from an AML, CTF, PEP and Sanctions perspective in conjunction with other relevant stakeholders
- Ensured proficiency in multitasking and managing tasks with tight timelines and deliverables

- Participated in the design and roll-out of new technologies to prevent financial crime risks
- Reported suspicious transactions (SARs) including identification, escalation and monitoring the misuse of money transfers by agents or customers to Financial Crimes Investigation Board
- Prepared draft policies, procedures, board reports, and other senior management reporting
- Determined AML scenario parameters, thresholds and created new scenarios to prevent financial crime risks
- Obtained knowledge of AML, KYC/KYB and Sanction methodologies, tools and techniques
- Gained experience on different AML tools such as SAS, MANTAS and other in-house AML Tools
- Supported and advised branches and relevant departments regarding Financial Crime issues including KYC, Sanctions and other legal obligations
- Sought to actively improve processes and put forward suggestions for process efficiency in the business
- Monitored customer transactions and account activities associated with money laundering, fraud and any other potential risks
- Monitored staff performance, continually seeking ways to improve team delivery for optimized financial success
- Supervised and mentored junior team members, providing detailed instructions and guidance to maximize team efficiency
- Encouraged staff to learn and develop new skills to meet individual and team goals
- Listened to team members' feedback and proactively addressed concerns
- Coached and mentored junior team members, regularly assessing knowledge and skills gaps and implementing corrective action
- Managed team by offering support and constructive feedback to improve skills and maximize potential
- Maintained positive, professional working environment to optimize staff and customer satisfaction
- Supervised and delegated tasks to employees to meet key productivity targets
- Achieved management objectives, consistently hitting deadlines and performance targets

Senior Internal Controller
QNB Finansbank A.S.

April 2013 - May 2016

- Provided assurance that organization's risk management and internal control processes are operating effectively
- Worked with other business lines to monitor and evaluate how well risks are being managed
- Responsible for control functions in various units of the Bank thus conducting an efficient and daily control over all transactions
- Advised to management on how to improve systems and processes
- Audited and assessed how well Branches/Units are complying to rules, regulations and recording the results
- Prepared reports to highlight issues and reporting to senior management.
- Created internal controls and corrective actions to reduce risk or deficiencies.
- Produced internal control reports to facilitate internal and external auditing.
- Complied with guidelines and developed policies to maintain compliance with other regulatory statutes.

Internal Controller
Denizbank A.Ş.

February 2011 - March 2013

Agricultural Credits Specialist
Denizbank A.Ş.

August 2008 - April 2010

Skills

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| <ul style="list-style-type: none"> • Experienced in cleaning, analyzing and summarizing data • Experienced in preparing reports to highlight issues and reporting to senior management • Advising to management on how to improve their systems and processes • Comfortable operating at all levels and ability to collaborate well across multiple teams, departments • Report writing experience • Ability to communicate at all levels and with a variety of stakeholders • Adept at responding promptly to client and colleague inquiries. | <ul style="list-style-type: none"> • Open to change, committed to continuous learning, and future-oriented • Proficiency in multitasking and managing tasks with tight timelines and deliverables. • Systematic approach to work with an attention to details • Proven ability to work proactively, stay organized, and efficiently manage tasks even under pressure. • Maintained positive, professional working environment to optimize staff and customer satisfaction • Self-motivated to provide a professional level of expertise and administrative support • Strong attention to detail, proactive and organized. |
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- Excellent problem-solving and issue-resolution strategies to rectify difficulties quickly and effectively.
- Proven experience in a financial crime compliance, investigation, research, due diligence

Courses & Certifications

- Financial Regulation: The International Regulatory Environment (02/2025 – London Governance Compliance Academy - Certificated)
- Countering the Financing of Terrorism and Proliferation of Weapons of Mass Destruction (WMDs) by non-State actors (Successful Completion and Certificated)
- Combating with Money Laundering and Terrorist Financing (CAMS Continuing Education Credits Certificate)
- Prevention of Fraud
- Corruption and Bribery Training
- FATF Webinar on Proliferation Financing Risk Assessment and Mitigation
- Fraud in Banking System
- Forgery Class Course
- Compliance, Conflict of interest and Whistleblowing e-learning
- Blockchain, Cryptocurrencies and Effects on the Banking System (Certificated)
- International Trade and Finance Training (Certificated)
- Law of Obligation
- Basel II - III and Risk Management
- Investment Derivative Transactions
- Audit Training Program
- CIA (Certified Internal Auditor) Courses
- ACL Scenario Training (Audit Command Language)
- Internal Control Frames and Applications (The Banks Association of Turkey)
- SAS Enterprise Guide 1: Querying and Reporting (Certificated)
- Advanced Excel Training
- Macro Excel Training
- MS SQL Training
- Agricultural Loans Training
- General Loan Agreement Training

Education

Istanbul University
Faculty of Political Science - International Relations (2007)

Websites, Portfolios and Profiles

<https://www.linkedin.com/in/defkan-genc-93a69b63>